

Retail Clients

Class of Instrument	Equities – Shares and Depositary Receipts				
Notification if < 1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS Switzerland AG LEI: 549300WOIFUSNYH0FL22	52.12%	38.10%	N/A	N/A	100.00%
Bank Julius Bär & Co. AG (Switzerland) LEI: PNWU8O0BLT17BBV61Y18	47.88%	61.90%	N/A	N/A	100.00%
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A

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Class of Instrument	Exchange Traded Products				
	Notification if < 1 average trade per business day in the previous year	N			
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
UBS Switzerland AG LEI: 549300WOIFUSNYH0FL22	63.12%	61.69%	N/A	N/A	100.00%
Bank Julius Bär & Co. AG (Switzerland) LEI: PNWU8O0BLT17BBV61Y18	36.20%	37.41%	N/A	N/A	100.00%
Mirabaud & Cie SA (Switzerland) LEI: 549300UNS0E0CCKL0C57	0.68%	0.90%	N/A	N/A	100.00%
N/A	N/A	N/A	N/A	N/A	N/A
N/A	N/A	N/A	N/A	N/A	N/A